

409th CSB - Thresholds		As of 10/29/2012	<i>NOTE: Updates to existing items are annotated with bold and italicized text.</i>						
Action		Reference	Dollar Threshold/Parameters	Preparer	Review	Review	Review	Review	Approve
NEW/ADDED									
GENERAL									
	PARC Review (solicitations and awards, and recommended for competitive range determinations)	409th internal policy	> \$1.5M	KO	Legal	RCO Chief/TCC Director	MOD	Command Counsel	PARC
	Legal Review	409th Legal SOP	> \$300K for normal actions, > \$100K for contingency actions	KO	Legal	-	-	-	KO
	Legal Review for ABG-75	409th Legal SOP	Estimated at > \$100K final contract value (including all fees and secondary services) and all modifications (ABG-3, -5, or -5A) that obligate or de-obligation funds or alter the work requirement, even if the modification does not result in an overall increase or decrease in funds.	KO	Legal	-	-	-	
TERMINATIONS									
	Terminations	409th Legal SOP	All terminations	KO	Legal	-	-	-	KO
SOURCE SELECTION									
	Source Selection Plan (SSP)	DoD Source Selection Procedures, 2.2 and AFARS 5115.303(c)	All best-value, negotiated, competitive acquisitions under FAR 15 (see DoD SSP doc for exceptions) and AFARS 5115.303(c)	Program Office/Customer/Requiring Activity (in coordination with source selection team (SST))	KO	SSA (if not the KO)	Legal	MOD - pricers (recommended for large dollar value source selections)	-
	Identify Source Selection Team (SST), ensure briefed and knowledgeable of source selection regulations and standards of conduct, and coordinate completion of non-disclosures and conflict of interest statements	DoD Source Selection Procedures	ALL	KO or Source Selection Authority (SSA) if not the KO	-	-	-	-	-
	Evaluation Notices (ENs)	DoD Source Selection Procedures	ALL	SSEB	KO	Legal	-	-	-
	Debriefings	DoD Source Selection Procedures	ALL	KO (in coordination with Source Selection Team (SST))	Legal	-	-	-	-
	Competitive range determination	DoD Source Selection Procedures and Legal SOP 13	ALL	KO	SSA	Legal	-	-	KO
	Evaluation records, narratives, and results	DoD Source Selection Procedures	ALL	SSEB	SSEB Chairperson	KO	Legal	MOD - pricers (recommended for large dollar value source selections)	KO/SSA
	Source Selection Decision Document (SSDD)	DoD Source Selection Procedures and Legal SOP 13	< \$1.5 M	KO or SSA (if not the KO)	Legal	MOD - pricers (recommended for large dollar value source selections)	-	-	KO/SSA
	SSDD	DoD Source Selection Procedures, Legal SOP 13, PARC Review threshold	> \$1.5 M	KO or SSA (if not the KO)	Legal	MOD	MOD - pricers (recommended for large dollar value source selections)	Command Counsel/PARC	PARC
	Appointment of the Source Selection Authority (SSA)	AFARS 5112	competitive acquisitions for commercial items	KO	-	-	-	-	-
	Appointment of SSA	DoD Source Selection Procedures and AFARS 5115.3	SAT < \$100M, non-commercial	KO	-	-	-	-	PARC
	Appointment of SSA	DoD Source Selection Procedures and AFARS 5115.3	> \$100M, must be an individual other than the PCO (non-commercial)	KO	-	-	-	-	PARC
	Appointment of SSA	DoD Source Selection Procedures and AFARS 5115.3	> \$500M and acquisitions identified by the Assistant Secretary of the Army (Acquisition, Logistics, and Technology) as special interest (non-commercial)	KO	Legal	MOD	Command Counsel/PARC	PARC	DASA(P)

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						** When MOD is listed as a reviewer, this is the PARC Reviewer/Analyst.				
	Action	Reference	Dollar Threshold/Parameters	Preparer	Review	Review	Review	Review	Review	Approve
	Establishment of Source Selection Advisory Council (SSAC)	DoD Source Selection Procedures	> \$100M or more (optional for acquisitions with a with a total estimated value < \$100M) (non-commercial)	SSAC Chairperson	PCO (recommended)	-	-	-	-	SSA
PERIOD OF PERFORMANCE										
	Contract > 10 years	DFARS 217.204(e)(i)(C)	Any task order or delivery order contract (including a contract for IT) that is expected to exceed 10 years	KO	Legal	MOD	Command Counsel/PARC	HCA		Head of Agency
	Task Order/Delivery to exceed more than 1 year beyond the 10-yr contract period of performance	DFARS 217.204(e)(iv)	Any TO/DO that has a period of performance expected to exceed more than one year after a 10 year contract expires	KO	Legal	MOD	Command Counsel/PARC	HCA		Senior Procurement Executive (SPE)
OPTIONS										
	Use of options that extend contracts beyond 5 years	AFARS 5117.204	Any contract expected to exceed five years	KO	Legal	MOD	Command Counsel			PARC
LEASES										
	Equipment leases greater than 18 months	DFARS 207.470(b)	Any leases for equipment greater than 18 months	KO	Legal	MOD	Command Counsel	PARC		HCA
DETERMINATIONS										
	Personal services contracts D&F	AFARS 5137.104-90-1	All requirements for personal services	KO	Legal	MOD	Command Counsel/PARC	HCA		ASA(ALT)
SPECIAL										
	Non-DoD certifications	DFARS 217.78 and AFARS 5117.78	Supplies or services > SAT made under a non-DoD contract (direct buy)	PM (O-6 or equivalent)/Legal/RM	KO	Legal	-	-		KO
	Non-DoD certifications	DFARS 217.78 and AFARS 5117.78	Supplies or services > SAT to be made by a non-DOD contract office (assisted acquisition)	PM (O-6 or equivalent)/Legal/RM	KO	Legal	MOD	Command Counsel		PARC
	Peer Review waiver	AFARS 5101.170(b)(1)(e) and ECC AI	\$500K < \$25M	KO	Legal	-	-	-		Chief of the Contract Office
		AFARS 5101.170(b)(1)(e) and ECC AI	>= \$25M < \$250M	KO	Legal	MOD	Command Counsel	-		PARC
MISCELLANEOUS										
	Pre-Negotiation Objective Memorandum (POMs)/Price Negotiation Memorandum (PNMs)	ECC AI, Legal SOP	>= 500K < \$25M	KO	Legal	MOD - Pricers (for sole source PNMs)	-	-		RCO Chief
			>= \$25M < \$250M	KO	Legal	MOD	Command Counsel	-		PARC
			>= \$250M < \$500M	KO	Legal	MOD	Command Counsel	PARC		HCA
			>= \$500M < \$1B	KO	Legal	MOD	Command Counsel	PARC		HCA
			> \$1B	KO	Legal	MOD	Command Counsel	PARC		DPAP
DELETED										
	S1 Non-DOD Agency Contracts or Delivery Orders	AFARS 5117.7802	> \$150,000	PM (O-6 or equivalent)	KO	Legal	Finance	-		KO
	S2 Test Program for Commercial Items (and supporting documentation)	FAR 13.5 and FAR 12.501(b)	> \$150,000 up to \$6.5M	KO	Legal (if over \$300K)	MOD (if over \$1.5M)	-	-		KO or PARC (if over \$1.5M)
	S3 Sole Source under Test Program	Cite Section 4202 of the Clinger-Cohen Act of 1996 or Services Acquisition Reform Act of 2003 (41U.S.C. 428a)	Dollar Thresholds at J&A levels above	-	-	-	-	-		-
Acquisition Cross Service Agreements (ACSA) Acquisitions										
	ACSA Acquisitions	USAEUR Reg. AE 1-3	< or = \$25,000	RA/PM (if authority was delegated by USAREUR G-8)	-	-	-	-		RA/PM (if authority was delegated by USAREUR G-8)
	ACSA Acquisitions	USAEUR Reg. AE 1-3	\$25,001 - \$100,000	ACSA Team	-	-	-	-		KO
	ACSA Acquisitions	USAEUR Reg. AE 1-3	> \$100,000	ACSA Team	Legal	-	-	-		KO
Acquisition Planning										
	Acquisition PLANNING	FAR 7.102	ALL Acquisitions	-	-	-	-	-		-
	Acquisition Plans	DFARS 207.103 (d) (i), AFARS 5107 (S-90)	\$50M or more for all years or \$25M or more for any fiscal year	RA/PM	KO/Legal	MOD	Command Counsel	Special Competition Advocate		PARC
	Acquisition Strategy (Services)	AFARS 5137.590-4	Over \$150,000 but less than \$10M	KO	Legal (at legal review thresholds)	-	-	-		RCO Chief/TCC Director

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		AFARS 5137.590-5	\$10M but less than \$250M	KO	Legal	MOD	Command Counsel	-	PARC
		AFARS 5137.590-6, ECC AI thresholds	\$250M but less than \$500M	KO	Legal	MOD	Command Counsel/PARC	HCA	Senior Service Manager
		AFARS 5137.590-7	\$500M or more	KO	Legal	MOD	Command Counsel/ PARC	HCA	DASA(P)
Consolidation									
		AFARS 5107.170-3 and Legal SOP	\$5.5M - \$100M	KO	Legal	MOD	Command Counsel	-	PARC
		AFARS 5107.170-3 and Legal SOP	> \$100M - \$500M	KO	Legal	MOD	Command Counsel	PARC	HCA
		AFARS 5107.170-3 and Legal SOP	\$500M or more	KO	Legal	MOD	Command Counsel/PARC	HCA	DASA(P)
Contract Administration									
	COR Designation	DASA/P Memo 21 Oct 2010	Services > \$150,000, or is for services that are complex with quality or performance standards; are critical services, prior contractor performance indicates a need for gov't oversight, or the KO otherwise determines a COR is needed.	Customer	KO	-	-	-	KO
		ECC COD Policy Alert 2012-002	Construction > \$150K	Customer	KO	-	-	-	KO
Contractor Performance Assessment Reports in CPARS/CCASS/ACASS									
	CPARS/CCASS/ACASS	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-90	> \$1M	KO/PM/COR	-	-	-	-	-
	A&E Contracts (Report in ACASS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-91	\$30,000	-	-	-	-	-	-
	Fuels (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-92	\$150,000	-	-	-	-	-	-
	Healthcare providers (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-93	\$150,000	-	-	-	-	-	-
	Ship Repair and Overhaul (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-94	\$500,000	-	-	-	-	-	-
	Construction (Report in CCASS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-95	\$650,000	-	-	-	-	-	-
	IT Hardware/Software and Telecom (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-96	\$1M	-	-	-	-	-	-
	Services (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-97	\$1M	-	-	-	-	-	-
	Systems (Report in CPARS)	FAR 42.1502 (DOD Deviation) and AFARS 5142.1502-98	\$5M	-	-	-	-	-	-
Determinations									
	Commercial Determination (D&F)	DFARS 212.102(a)(1) and DASA(P) PARC Policy Alert #12-66	All commercial acquisitions, when not using definitions identified in FAR 2.101 (1)(ii), (3), (4), or (6)	KO	Legal	-	-	-	KO
		DFARS 212.102(a)(1) and DASA(P) PARC Policy Alert #12-66	All commercial acquisitions, when using definitions identified in FAR 2.101 (1)(ii), (3), (4), or (6)	KO	Legal	-	-	-	one level above the KO
	Time and Material (T&M) D&Fs (including FP with T&M CLINs)								
		ACC Command Policy Memo CPM 11-6 dated July 29, 2011	ALL	KO	Legal (if over \$300K)	MOD	-	-	PARC

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Action	Reference	Dollar Threshold/Parameters	Preparer	Review	Review	Review	Review	Review	Approve
	FAR 16.601(d) and ACC Command Policy Memo CPM 11-6 dated July 29, 2012	Over three years	KO	Legal	MOD	Command Counsel	PARC	HCA	
Single Source Task Order/Delivery Order Contract D&F	FAR 16.504(c) and DFARS 216.504	> \$103M	KO	Legal	MOD	PARC			ASA(ALT)
Contractor Responsibility Determination - Federal Awardee Performance and Integrity Information System (FAPIS) - available at www.ppirs.gov	FAR 9.104-6 (a)	> \$150,000	-	-	-	-	-	-	-
Justifications and Brand Name									
Posting of J&As (except Unusual and Compelling Urgency and Brand Name)	FAR 6.305/FAR 8.405-6(a)(2)/ FAR 13.501(a)(1)(iii)/FAR 16.505	> the SAT: Within 14 days after contract award	-	-	-	-	-	-	-
Posting of J&As (Unusual and Compelling Urgency)	FAR 6.305/FAR 8.405-6(a)(2)/ FAR 13.501(a)(1)(iii)/FAR 16.505	> the SAT: Within 30 days after contract award	-	-	-	-	-	-	-
Posting of Brand Name Justifications	FAR 6.305/FAR 8.405-6(a)(2)/ FAR 13.501(a)(1)(iii)/FAR 16.505	All: With the solicitation	-	-	-	-	-	-	-
Justification and Approvals (J&A's) and Exceptions to Fair Opportunity (any type of action that limits competition)	FAR Parts 6.304, 8.4, 16.505, and supplements	up to \$650,000	KO	Legal	-	-	-	-	KO
Justification and Approvals (J&A's) and Exceptions to Fair Opportunity (any type of action that limits competition)	FAR Parts 6.304, 8.4, 16.505, and supplements	\$650,000 - \$12.5M	KO	Legal	MOD	-	-	-	Special Competition Advocate (SCA)
Justification and Approvals (J&A's) and Exceptions to Fair Opportunity (any type of action that limits competition)	FAR Parts 6.304, 8.4, 16.505, and supplements	\$12.5M - \$85.5M	KO	Legal	MOD	Special Competition Advocate (SCA)	-	-	HCA
Justification and Approvals (J&A's) and Exceptions to Fair Opportunity (any type of action that limits competition)	FAR Parts 6.304, 8.4, 16.505, and supplements	over \$85.5M	KO	Legal	MOD	SCA	HCA	-	Assistant Secretary of Army for Acquisition, Logistics, and Technology (ASA(ALT))
Sole Source under FAR 13.1	FAR 13.106-1, 409th Justifications Policy issued 1 October 201, and Legal SOP	Up to \$150,000	KO	Legal	-	-	-	-	KO
Limited Sources Justification (FAR pt 8)	FAR 8.405-6	Dollar thresholds at J&A levels	-	-	-	-	-	-	-
Miscellaneous									
Micro-Purchase Threshold *	FAR 2.101	\$3,000	-	-	-	-	-	-	-
Simplified Acquisition Threshold (SAT) *	FAR 2.101	\$150,000	-	-	-	-	-	-	-
* Under normal circumstances. For special circumstances, see legal or PARC for guidance.									
Waiver for Contracting with a Government Employee (Conflict of Interest)	FAR 3.601 and 3.602	Any contract with a Government Employee	KO	Legal	MOD**	PARC			Head of Contracting Activity (HCA)
Public Announcement (Congressional Notification) unless exempted.	DFARS 205.303 (a) (i)	\$6.5M excluding unexercised options	-	-	-	-	-	-	-
Federal Awardee Performance and Integrity Information System (FAPIS)	FAR 9.104-6	> SAT, KO must review the system.	-	-	-	-	-	-	-
Ownership or control by the government of a terrorist country	DFARS 209.104-1(g)(i)	\$150,000 or more	-	-	-	-	-	-	-
Market Research	FAR 10.001	> \$150,000	-	-	-	-	-	-	-
Cost or Pricing Data (Certified)	FAR 15.403-4	\$700,000	-	-	-	-	-	-	-
Service Contract Approval	AFARS 5107.503(e)	All Service Contracts	-	-	-	-	-	-	RA General Officer or SES unless delegated.
Non-Performance Based Services Acquisitions									
	DFARS 237.170-2, AFARS 5137.170-2	up to \$11.5M	KO	legal	MOD	Command Counsel	-	-	PARC
	DFARS 237.170-2, AFARS 5137.170-3	Over \$11.5M up to \$85.5M	KO	legal	MOD	Command Counsel	PARC	-	HCA

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	DFARS 237.170-2, AFARS 5137.170-4	Over \$85.5M	KO	Legal	MOD	Command Counsel/ PARC	HCA	SPE	
Synopsizing									
Army Single Face to Industry (ASFI) Solicitation posting	AFARS 5104.502	<i>All Solicitations (when not using oral solicitations under 13.1)</i>	-	-	-	-	-	-	-
Pre-Solicitation and Post Award Synopsis (unless an exception applies). NOTE: This is different from posting the solicitation on ASFI.	FAR 5.101(a)(1)	\$25,000 and up - Post on FBO	-	-	-	-	-	-	-
Pre-Solicitation and Post Award Synopsis (unless an exception applies).	FAR 5.101(a)(2)	\$15,000 - \$25,000 - Post in Public Place or by an appropriate electronic means	-	-	-	-	-	-	-
Unauthorized Commitments - Ratifications									
	AFARS 5101.602-3 (b) (3) and 409th Contracting Policies and Procedures (CPP) 11-001-FAR 1.602-3	\$10,000 or less	Requiring Activity (RA)/Program Manager (PM)	KO	Legal	-	-	RCO Chief/TCC Director	
	AFARS 5101.602-3 (b) (3) and 409th Contracting Policies and Procedures (CPP) 11-001-FAR 1.602-3	\$100,000 or less	RA/PM	KO	Legal	MOD	Command Counsel	PARC	
	AFARS 5101.602-3 (b) (3) and 409th Contracting Policies and Procedures (CPP) 11-001-FAR 1.602-3	Over \$100,000	RA/PM	KO	Legal	MOD	Command Counsel/ PARC	HCA	
OTHER									
PEER REVIEW									
<i>Pre-award peer review</i>	<i>DFARS 201.170, PGI 201.170, AFARS 5101.170, ACC Desk Book, and ECC AI.</i>	<i>All new competitive and non-competitive solicitations and contracts with a total estimated value >= \$500K (value of base contract and all option periods, and the cumulative estimated value of orders for indefinite-delivery contracts) at pre-solicitation and pre-award phases. NOTE1: A non-competitive solicitation may be issued without an SRB review. The SRB is conducted on the government objective (POM) prior to beginning negotiations. NOTE2: When using multiple award procedures, only one CRB will be required for all resulting contracts.</i>	CHAIR OF REVIEW BOARD						
		<i>>= 500K < \$25M</i>	<i>RCO Chief</i>						
		<i>>= \$25M <\$250M</i>	<i>PARC</i>						
		<i>>= \$250M < \$500M</i>	<i>HCA</i>						
		<i>>= \$500M <\$1B</i>	<i>HCA</i>						
		<i>>\$1B</i>	<i>DPAP</i>						
<i>Post-award peer review</i>	<i>DFARS 201.170, PGI 201.170, AFARS 5101.170, ACC Desk Book, and ECC AI.</i>	<i>Competitive and non-competitive service contracts with an aggregate value >=\$500K or those identified as special interest by DPAP, ASA(ALT), DASA(P), ACC, or ECC.</i>							
		<i>>= 500K < \$25M</i>	<i>RCO Chief</i>						
		<i>>= \$25M <\$250M</i>	<i>PARC</i>						
		<i>>= \$250M < \$500M</i>	<i>HCA</i>						
		<i>>= \$500M <\$1B</i>	<i>HCA</i>						
		<i>>\$1B</i>	<i>DPAP</i>						